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PATENT

I hereby certify that on the date specified below, this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

December 10, 2004  
Date

Carolyn L. Ross  
Carolyn L. Ross

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Appl. No. : 09/652,994

Confirmation No. : 4014

Applicant : Vishnu K. Agarwal

Filed : August 31, 2000

Attorney Docket No.: 501082.03

Art Unit : 2815

Customer No. : 27,076

Examiner : Jesse A. Fenty

Title : DEVICE AND METHOD FOR PROTECTING AGAINST OXIDATION OF A  
CONDUCTIVE LAYER IN SAID DEVICE

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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Mail Stop Issue Fee  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97 through 1.98, applicant wishes to make known to the Patent and Trademark Office the references set forth on the attached form PTO-1449. This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior Application No. 09/200,253, filed November 25, 1998. The references listed on the attached Form PTO-1449 were submitted to and/or cited by the Patent and Trademark Office in this prior application and, therefore, are not required to be provided in this application. If the Examiner wishes, copies will be provided upon request. Although the aforesaid references are made known to the Patent and Trademark Office in compliance with applicant's duty to disclose all information he

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is aware of which is believed relevant to the examination of the above-identified application, applicant believes that his invention is patentable.

A fee of \$180 is submitted in accordance with 37 C.F.R. § 1.97(c). The Commissioner is authorized to charge any other fees which may be required, or credit any overpayment to Deposit Account No. 50-1266. A duplicate copy of this sheet is enclosed.

Please acknowledge receipt of this Information Disclosure Statement and kindly make the cited references of record in the above-identified application.

Respectfully submitted,

DORSEY & WHITNEY LLP



Marcus Simon  
Registration No. 50,258

Enclosures:

Postcard  
Check  
Fee Transmittal (+ copy)  
Copy of Information Disclosure Statement  
Form PTO-1449

1420 Fifth Avenue, Suite 3400  
Seattle, WA 98101-4010  
Telephone: (206) 903-8800  
Facsimile: (206) 903-8820

FORM PTO-1449  
(REV. 7-80)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.

501082.02

APPLICATION NO.

09/652,994

## INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

APPLICANT(S)

Vishnu K. Agarwal

FILING DATE

August 31, 2000

GROUP ART UNIT

2815

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5,963,826	10/05/99	Takanabe et al.	438	622	
	AB	6,010,931	01/04/00	Sun et al.	438	240	
	AC	6,114,200	09/05/00	Yew et al.	438	253	
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AK							
	AL							
	AM							
	AN							
	AO							

## OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

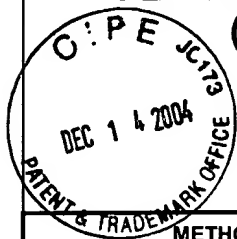
	AP	
	AQ	
	AR	

EXAMINER

DATE CONSIDERED

\* EXAMINER: Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).

# **FEE TRANSMITTAL SHEET (FOR FY 2004)**



**Complete if Known**

Application No.	09/652,994
Filing Date	August 31, 2000
First Named Inventor	Vishnu K. Agarwal
Group Art Unit	2815
Examiner	Jesse A. Fenty
Atty. Docket Number	501082.02

## **METHOD OF PAYMENT (Check One)**

1. ☒ The Commissioner is hereby authorized to charge any additional fee required under 37 C.F.R. §§ 1.16 and 1.17 and 1.136(a)(3) and credit any over payments to Deposit Account No.: 50-1266; Deposit Account Name: DORSEY & WHITNEY LLP

2. ☒ Check Enclosed

## **FEE CALCULATION**

### **1. BASIC FILING FEE**

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1001	790	2001	385	<input type="checkbox"/> Utility Filing Fee
1002	350	2002	170	<input type="checkbox"/> Design Filing Fee
1003	550	2003	265	<input type="checkbox"/> Plant Filing Fee
1004	790	2004	385	<input type="checkbox"/> Reissue Filing Fee
1005	160	2005	80	<input type="checkbox"/> Provisional Filing Fee

**Subtotal (1) \$ 0**

### **2. EXTRA CLAIM FEES**

Current Claims	Prior	Extra	Fee	Fee Paid
Total	-	20	= x \$	= \$ 0
Ind.	-	3	= x \$	= \$ 0
Multiple Dependent Claims			x \$	= \$

**Subtotal (2) \$ 0**

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1201	200	2201	100	Independent claims in excess of 3
1202	50	2202	25	Claims in excess of 20
1203	360	2203	180	Multiple dependent Claim
1204	200	2204	100	Reissue independent claims over original patent
1205	50	2205	25	Reissue claims in excess of 20 and over original patent

## **FEE CALCULATION (Continued)**

### **3. ADDITIONAL FEES**

Large Entity		Small Entity		Fee Description	Fee paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - Late filing fee or oath	\$
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	\$
1053	130	1053	130	Non-English specification	\$
1812	2,520	1812	2,520	For Filing a Request for ex parte Reexamination	\$
1804	920	1804	920	Requesting publication of SIR prior to Examiner action	\$
1805	1,840	1805	1,840	Requesting publication of SIR after Examiner action	\$
1251	120	2251	60	Extension for reply within first month	\$
1252	450	2252	225	Extension for reply within 2 <sup>nd</sup> month	\$
1253	1,020	2253	510	Extension for reply within 3 <sup>rd</sup> month	\$
1254	1,590	2254	795	Extension for reply within 4 <sup>th</sup> month	\$
1255	2,160	2255	1,080	Extension for reply within 5 <sup>th</sup> month	\$
1401	500	2401	250	Notice of Appeal	\$
1402	500	2402	250	Filing a brief in support of an appeal	\$
1403	1000	2403	500	Request for oral hearing	\$
1814	130	2814	65	Terminal Disclaimer Fee	\$
1452	500	2452	250	Petition to revive - unavoidable	\$
1453	1,500	2453	750	Petition to revive - unintentional	\$
1501	1,400	2501	700	Utility/Reissue issue fee	\$
1502	800	2502	400	Design issue fee	\$
1503	1,100	2503	550	Plant issue fee	\$
1460	130	1460	130	Petitions to the Commissioner	\$
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	\$
1806	180	1806	180	Submission of IDS	\$ 180
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	\$
1801	790	2801	395	Request for Continued Examination (RCE)	\$
Other fee (specify)					\$

**Subtotal (3) \$ 180**

**Total Amount of Payment: \$ 180**

**Submitted by:**

Name: Marcus Simon

Reg. No.: 50,258

Telephone: (206) 903-8800

Signature: *Marcus Simon*

Date: *December 10, 2004*